

QUARTERLY STATEMENT
OF THE
Delta Dental Plan of New Mexico, Inc.

of
Albuquerque
in the state of
New Mexico

TO THE
Insurance Department
OF THE STATE OF
New Mexico

FOR THE QUARTER ENDED
JUNE 30, 2020

2020



QUARTERLY STATEMENT
AS OF JUNE 30, 2020
OF THE CONDITION AND AFFAIRS OF THE
Delta Dental Plan of New Mexico, Inc.

NAIC Group Code 0477 (Current Period) , 0477 (Prior Period) , NAIC Company Code 47287 , Employer's ID Number 85-0224562

Organized under the Laws of New Mexico , State of Domicile or Port of Entry NM

Country of Domicile United States of America

Licensed as business type: Life, Accident & Health[] , Property/Casualty[] , Hospital, Medical & Dental Service or Indemnity[]
 Dental Service Corporation[X] , Vision Service Corporation[] , Health Maintenance Organization[]
 Other[] , Is HMO Federally Qualified? Yes[] No[] N/A[X]

Incorporated/Organized 05/01/1971 , Commenced Business 05/01/1971

Statutory Home Office 2500 Louisiana Blvd. NE, Suite 600 , Albuquerque, NM, 87110
 (Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 2500 Louisiana Blvd. NE, Suite 600
 (Street and Number) Albuquerque, NM, 87110 (City or Town, State, Country and Zip Code)
(505)883-4777 (Area Code) (Telephone Number)

Mail Address 2500 Louisiana Blvd. NE, Suite 600 , Albuquerque, NM, 87110
 (Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 2500 Louisiana Blvd. NE, Suite 600
 (Street and Number) Albuquerque, NM, 87110 (City or Town, State, Country and Zip Code)
(505)883-4777 (Area Code) (Telephone Number)

Internet Web Site Address deltadentalnm.com

Statutory Statement Contact Glenn R. Simon, CPA, CGMA (Name) (517)347-5405 (Area Code)(Telephone Number)(Extension)
gsimon@deltadentalmi.com (E-Mail Address) (517)347-5125 (Fax Number)

OFFICERS

Name	Title
Lou Volk III	President & CEO
Amy Lyn Basel, CPA, CGMA	CFO & Treasurer
Christine Nielsen Nathe RDH, MS	Vice Chairperson
James Dean Trujillo CPA,CGMA	Chairperson
Sue Ellen Jenkins	VP, General Counsel & Secretary #

OTHERS

JoLou Trujillo-Ottino, VP of Sales & Marketing

DIRECTORS OR TRUSTEES

Robin Dozier Otten #
 David James Martinez, DDS
 Robert Lee Simmons
 Ralph Edward McElmurry, DDS
 James Dean Trujillo, CPA
 Christine Nielsen Nathe, RDH, MS
 Fred Cisneros #

State of Michigan
 County of Ingham ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Amy Lyn Basel
 (Signature)
 Amy Lyn Basel, CPA, CGMA
 (Printed Name)
 1.
 CFO & Treasurer
 (Title)

Sue E. Jenkins
 (Signature)
 Sue Ellen Jenkins
 (Printed Name)
 2.
 VP, General Counsel, & Secretary
 (Title)

 (Signature)

 (Printed Name)
 3.

 (Title)

Subscribed and sworn to before me this 3rd day of August, 2020

- a. Is this an original filing? Yes[X] No[]
 b. If no, 1. State the amendment number _____
 2. Date filed _____
 3. Number of pages attached _____

Elizabeth Balmer
 (Notary Public Signature)

ELIZABETH BALMER
NOTARY PUBLIC - STATE OF MICHIGAN
COUNTY OF INGHAM
 My Commission Expires March 30, 2023
 Acting in the County of _____



STATE OF MICHIGAN
COMMISSIONER OF HIGHWAYS
MICHIGAN DEPARTMENT OF TRANSPORTATION
LANSING, MICHIGAN



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ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	29,279,347		29,279,347	29,512,914
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks	26,134,821		26,134,821	22,818,887
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances)				
4.2 Properties held for the production of income (less \$.....0 encumbrances)				
4.3 Properties held for sale (less \$.....0 encumbrances)				
5. Cash (\$.....3,078,362), cash equivalents (\$.....4,196,431) and short-term investments (\$.....0)	7,274,793		7,274,793	4,181,572
6. Contract loans (including \$.....0 premium notes)				
7. Derivatives				
8. Other invested assets				
9. Receivables for securities	149,966		149,966	
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets	62,802		62,802	149,879
12. Subtotals, cash and invested assets (Lines 1 to 11)	62,901,729		62,901,729	56,663,252
13. Title plants less \$.....0 charged off (for Title insurers only)				
14. Investment income due and accrued	176,458		176,458	136,334
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	130,190	1,973	128,217	564,301
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans	2,909,097	646	2,908,451	3,090,776
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset				
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software	100,936		100,936	117,809
21. Furniture and equipment, including health care delivery assets (\$.....0)	338,942	338,942		
22. Net adjustments in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	1,646		1,646	1,393
24. Health care (\$.....0) and other amounts receivable				
25. Aggregate write-ins for other-than-invested assets	217,987	215,614	2,373	
26. TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	66,776,985	557,175	66,219,810	60,573,865
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. TOTAL (Lines 26 and 27)	66,776,985	557,175	66,219,810	60,573,865
DETAILS OF WRITE-INS				
1101. Restricted deferred compensation trust	62,802		62,802	149,879
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above)	62,802		62,802	149,879
2501. Prepaid expense	215,614	215,614		
2502. Miscellaneous receivable	2,373		2,373	
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	217,987	215,614	2,373	

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$.....0 reinsurance ceded)	1,270,500		1,270,500	1,323,300
2. Accrued medical incentive pool and bonus amounts				
3. Unpaid claims adjustment expenses	66,171		66,171	77,162
4. Aggregate health policy reserves, including the liability of \$.....0 for medical loss ratio rebate per the Public Health Service Act	98,687		98,687	74,614
5. Aggregate life policy reserves				
6. Property/casualty unearned premium reserve				
7. Aggregate health claim reserves				
8. Premiums received in advance	506,836		506,836	224,267
9. General expenses due or accrued	1,380,198		1,380,198	1,361,545
10.1 Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses))				
10.2 Net deferred tax liability				
11. Ceded reinsurance premiums payable				
12. Amounts withheld or retained for the account of others	100,349		100,349	57,052
13. Remittances and items not allocated				
14. Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current)				
15. Amounts due to parent, subsidiaries and affiliates	1,009,250		1,009,250	957,430
16. Derivatives				
17. Payable for securities	542,610		542,610	266,329
18. Payable for securities lending				
19. Funds held under reinsurance treaties with (\$.....0 authorized reinsurers, \$.....0 unauthorized reinsurers and \$.....0 certified reinsurers)				
20. Reinsurance in unauthorized and certified (\$.....0) companies				
21. Net adjustments in assets and liabilities due to foreign exchange rates				
22. Liability for amounts held under uninsured plans	436,827		436,827	721,268
23. Aggregate write-ins for other liabilities (including \$.....96,125 current)	96,125		96,125	80,746
24. Total liabilities (Lines 1 to 23)	5,507,553		5,507,553	5,143,713
25. Aggregate write-ins for special surplus funds	X X X	X X X		172,660
26. Common capital stock	X X X	X X X		
27. Preferred capital stock	X X X	X X X		
28. Gross paid in and contributed surplus	X X X	X X X		
29. Surplus notes	X X X	X X X		
30. Aggregate write-ins for other-than-special surplus funds	X X X	X X X	247,301	246,569
31. Unassigned funds (surplus)	X X X	X X X	60,464,956	55,010,923
32. Less treasury stock, at cost:				
32.10 shares common (value included in Line 26 \$.....0)	X X X	X X X		
32.20 shares preferred (value included in Line 27 \$.....0)	X X X	X X X		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	X X X	X X X	60,712,257	55,430,152
34. Total Liabilities, capital and surplus (Lines 24 and 33)	X X X	X X X	66,219,810	60,573,865
DETAILS OF WRITE-INS				
2301. Uninsured claim admin fee reserve	96,125		96,125	80,746
2302.				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page				
2399. TOTALS (Lines 2301 through 2303 plus 2398) (Line 23 above)	96,125		96,125	80,746
2501. 2020 ACA Fees	X X X	X X X		172,660
2502.	X X X	X X X		
2503.	X X X	X X X		
2598. Summary of remaining write-ins for Line 25 from overflow page	X X X	X X X		
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	X X X	X X X		172,660
3001. Statutory reserve	X X X	X X X	247,301	246,569
3002.	X X X	X X X		
3003.	X X X	X X X		
3098. Summary of remaining write-ins for Line 30 from overflow page	X X X	X X X		
3099. TOTALS (Lines 3001 through 3003 plus 3098) (Line 30 above)	X X X	X X X	247,301	246,569

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	X X X	933,726	899,051	1,800,031
2. Net premium income (including \$.....0 non-health premium income)	X X X	23,646,585	27,247,335	54,610,391
3. Change in unearned premium reserves and reserves for rate credits	X X X			
4. Fee-for-service (net of \$.....0 medical expenses)	X X X			
5. Risk revenue	X X X			
6. Aggregate write-ins for other health care related revenues	X X X	7,483	6,533	10,829
7. Aggregate write-ins for other non-health revenues	X X X			
8. Total revenues (Lines 2 to 7)	X X X	23,654,068	27,253,868	54,621,220
Hospital and Medical:				
9. Hospital/medical benefits				
10. Other professional services		16,174,032	22,498,997	43,439,064
11. Outside referrals				
12. Emergency room and out-of-area				
13. Prescription drugs				
14. Aggregate write-ins for other hospital and medical				
15. Incentive pool, withhold adjustments and bonus amounts				
16. Subtotal (Lines 9 to 15)		16,174,032	22,498,997	43,439,064
Less:				
17. Net reinsurance recoveries				
18. Total hospital and medical (Lines 16 minus 17)		16,174,032	22,498,997	43,439,064
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$.....179,098 cost containment expenses		499,797	707,941	945,905
21. General administrative expenses		4,133,225	3,194,757	7,843,245
22. Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only)				
23. Total underwriting deductions (Lines 18 through 22)		20,807,054	26,401,695	52,228,214
24. Net underwriting gain or (loss) (Lines 8 minus 23)	X X X	2,847,014	852,173	2,393,006
25. Net investment income earned		720,695	805,781	1,436,735
26. Net realized capital gains (losses) less capital gains tax of \$.....0		1,394,352	65,088	217,582
27. Net investment gains or (losses) (Lines 25 plus 26)		2,115,047	870,869	1,654,317
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)]		778	1,069	1,299
29. Aggregate write-ins for other income or expenses		6,653	6,896	12,267
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	X X X	4,969,492	1,731,007	4,060,889
31. Federal and foreign income taxes incurred	X X X			
32. Net income (loss) (Lines 30 minus 31)	X X X	4,969,492	1,731,007	4,060,889
DETAILS OF WRITE-INS				
0601. Dental Access Card	X X X	7,483	6,533	10,829
0602.	X X X			
0603.	X X X			
0698. Summary of remaining write-ins for Line 6 from overflow page	X X X			
0699. TOTALS (Lines 0601 through 0603 plus 0698) (Line 6 above)	X X X	7,483	6,533	10,829
0701.	X X X			
0702.	X X X			
0703.	X X X			
0798. Summary of remaining write-ins for Line 7 from overflow page	X X X			
0799. TOTALS (Lines 0701 through 0703 plus 0798) (Line 7 above)	X X X			
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page				
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)				
2901. Miscellaneous Income		6,653	6,896	12,267
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page				
2999. TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above)		6,653	6,896	12,267

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year	55,430,152	48,975,361	48,975,361
34. Net income or (loss) from Line 32	4,969,492	1,731,007	4,060,889
35. Change in valuation basis of aggregate policy and claim reserves			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0	675,380	1,732,090	2,398,926
37. Change in net unrealized foreign exchange capital gain or (loss)			
38. Change in net deferred income tax			
39. Change in nonadmitted assets	(362,767)	(9,608)	(5,024)
40. Change in unauthorized and certified reinsurance			
41. Change in treasury stock			
42. Change in surplus notes			
43. Cumulative effect of changes in accounting principles			
44. Capital Changes:			
44.1 Paid in			
44.2 Transferred from surplus (Stock Dividend)			
44.3 Transferred to surplus			
45. Surplus adjustments:			
45.1 Paid in			
45.2 Transferred to capital (Stock Dividend)			
45.3 Transferred from capital			
46. Dividends to stockholders			
47. Aggregate write-ins for gains or (losses) in surplus			
48. Net change in capital and surplus (Lines 34 to 47)	5,282,105	3,453,489	6,454,791
49. Capital and surplus end of reporting period (Line 33 plus 48)	60,712,257	52,428,850	55,430,152
DETAILS OF WRITE-INS			
4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page			
4799. TOTALS (Lines 4701 through 4703 plus 4798) (Line 47 above)			

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	24,387,515	27,225,235	54,145,534
2. Net investment income	791,008	566,230	1,452,247
3. Miscellaneous income	7,483		10,829
4. TOTAL (Lines 1 to 3)	25,186,006	27,791,465	55,608,610
5. Benefit and loss related payments	16,220,179	22,190,700	43,233,197
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	4,660,399	4,404,052	9,196,431
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses)			
10. TOTAL (Lines 5 through 9)	20,880,578	26,594,752	52,429,628
11. Net cash from operations (Line 4 minus Line 10)	4,305,428	1,196,713	3,178,982
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	15,288,350	24,079,088	32,626,883
12.2 Stocks	6,379,307	929,846	4,487,811
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets	87,077	44,241	130,785
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds	126,314	228,210	287,164
12.8 TOTAL investment proceeds (Lines 12.1 to 12.7)	21,881,048	25,281,385	37,532,643
13. Cost of investments acquired (long-term only):			
13.1 Bonds	14,736,636	25,094,925	33,488,612
13.2 Stocks	8,054,090	937,623	9,312,180
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 TOTAL investments acquired (Lines 13.1 to 13.6)	22,790,726	26,032,548	42,800,792
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(909,678)	(751,163)	(5,268,149)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(302,529)	30,072	23,146
17. Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	(302,529)	30,072	23,146
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	3,093,221	475,622	(2,066,021)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	4,181,572	6,247,593	6,247,593
19.2 End of period (Line 18 plus Line 19.1)	7,274,793	6,723,215	4,181,572

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

20.0001				
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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefits Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	150,732				7	150,725				
2. First Quarter	156,296				218	156,078				
3. Second Quarter	156,036				334	155,702				
4. Third Quarter										
5. Current Year										
6. Current Year Member Months	933,726				1,348	932,378				
Total Member Ambulatory Encounters for Period:										
7. Physician										
8. Non-Physician										
9. Total										
10. Hospital Patient Days Incurred										
11. Number of Inpatient Admissions										
12. Health Premiums Written (a)	23,646,585				71,797	23,574,788				
13. Life Premiums Direct										
14. Property/Casualty Premiums Written										
15. Health Premiums Earned	23,646,585				71,797	23,574,788				
16. Property/Casualty Premiums Earned										
17. Amount Paid for Provision of Health Care Services	16,226,831				41,784	16,185,047				
18. Amount Incurred for Provision of Health Care Services	16,174,032				41,784	16,132,248				

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.....0.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)**Aging Analysis of Unpaid Claims**

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 days	6 Over 120 Days	7 Total
0199999 Individually Listed Claims Unpaid						
0299999 Aggregate Accounts Not Individually Listed - Uncovered						
0399999 Aggregate Accounts Not Individually Listed - Covered	301,109	2,287	762	457	305	304,920
0499999 Subtotals	301,109	2,287	762	457	305	304,920
0599999 Unreported claims and other claim reserves						965,580
0699999 Total Amounts Withheld						
0799999 Total Claims Unpaid						1,270,500
0899999 Accrued Medical Incentive Pool And Bonus Amounts						

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1	2	3	4	Claims Incurred in Prior Years (Columns 1+3)	Estimated Claim Reserve and Claim Liability Dec 31 of Prior Year
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec 31 of Prior Year	On Claims Incurred During the Year		
1. Comprehensive (hospital & medical)						
2. Medicare Supplement						
3. Dental only	981,300	15,203,747	56,100	1,214,400	1,037,400	1,323,300
4. Vision only		41,784				
5. Federal Employees Health Benefits Plan						
6. Title XVIII - Medicare						
7. Title XIX - Medicaid						
8. Other health						
9. Health subtotal (Lines 1 to 8)	981,300	15,245,531	56,100	1,214,400	1,037,400	1,323,300
10. Healthcare receivables (a)						
11. Other non-health						
12. Medical incentive pools and bonus amounts						
13. Totals (Lines 9 - 10 + 11 + 12)	981,300	15,245,531	56,100	1,214,400	1,037,400	1,323,300

(a) Excludes \$.....0 loans or advances to providers not yet expensed.

Notes to Financial Statement

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of Delta Dental Plan of New Mexico (the Company) are presented on the basis of accounting practices prescribed or permitted by the New Mexico Office of the Superintendent of Insurance in accordance with the National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual*.

The New Mexico Office of the Superintendent of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of New Mexico for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the New Mexico Insurance Law. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures* manual has been adopted as a component of prescribed or permitted practices by the state of New Mexico. The state has not adopted any accounting practices that differ from those found in NAIC SAP with regards to completion of Delta Dental Plan of New Mexico's financial statements.

Description	SSAP#	F/S Page	F/S Line #	6/30/2020	12/31/2019
Net Income, NM				\$4,969,492	\$4,060,889
Effect of NM prescribed practices				-	-
Effect of NM permitted practices				-	-
Net income, NAIC SAP				\$4,969,492	\$4,060,889
Description	SSAP#	F/S Page	F/S Line #	6/30/2020	12/31/2019
Statutory Surplus, NM				\$60,712,257	\$55,430,152
Effect of NM prescribed practices				-	-
Effect of NM permitted practices				-	-
Policyholders Surplus, NAIC SAP				\$60,712,257	\$55,430,152

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

Health premiums are earned ratably over the terms of the related insurance contracts or policies. Expenses incurred in connection with acquiring new insurance business, including acquisition costs such as sales commissions, are charged to operations as incurred.

In addition, the company uses the following accounting policies:

- (1) Short-term investments are stated at amortized cost.
- (2) Bonds not backed by other loans are stated at amortized cost using the interest method.
- (3) Common stocks are recorded at market value.
- (4) Preferred stocks are stated in accordance with the guidance provided in SSAP No. 32.
- (5) No mortgage loans on real estate are held as of June 30, 2020 and 2019.
- (6) Loan backed securities are stated at amortized cost. The retrospective adjustment method is used to value MBS's and the scientific interest method is used to value CMO's.
- (7) The Company carries its investment in a non-insurance affiliate at the audited GAAP equity basis adjusted to a statutory equity basis.
- (8) The Company has no ownership interest in joint ventures, partnership or limited liability companies as of June 30, 2020 and 2019.
- (9) No derivatives are held as of June 30, 2020 and 2019.
- (10) Not applicable.
- (11) Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
- (12) The Company has not modified its capitalization policy from the prior period.
- (13) Not applicable

C. Going Concern

Not applicable.

2. Accounting Changes and Corrections of Errors

The Company had no accounting changes or correction of errors during fiscal years 2020 and 2019.

Notes to Financial Statement

3. Business Combinations and Goodwill

The Company had no goodwill on its books and no business combinations occurred during 2020 and 2019.

4. Discontinued Operations

The Company had no discontinued operations during the fiscal years ending June 30, 2020 and 2019.

5. Investments

- A. The Company owned no mortgage loans.
 B. The Company had no investments in restructured debt.
 C. The Company had no investments in reverse mortgages.
 D. The Company had no investments in loan backed securities that are recorded at other-than-temporarily impaired values.
 E. The Company had no repurchase agreements or securities lending agreements.
 F. The Company does not have investments in real estate.
 G. None.
 H. None.
 I. None.
 J. None.
 K. None.
 L. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Nonadmitt ed Restricted	5 Total Current Year Admitted Restricted (1 minus 4)	6 Gross (Admitted & Nonadmitte d) Restricted	7 Admitted Restricted to Total Admitted Asset (b)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	0.00%	0.00%
b. Collateral held under security lending agreements							
c. Subject to repurchase agreements							
d. Subject to reserve repurchase agreement							
e. Subject to dollar repurchase agreements							
f. Subject to dollar reserve repurchase agreements							
g. Placed under option contracts							
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock							
i. FHLB capital stock							
j. On deposit with state	247,301	246,569	732	-	247,301	0.37%	0.37%
k. On deposit with other regulatory bodies							
l. Pledged as collateral to FHLB (including assets backing funding agreements)							
m. Pledged as collateral not captured in other categories							
n. Other restricted assets							
o. Total Restricted Assets	\$ 247,301	\$ 246,569	\$ 732	\$ -	\$ 247,301	0.37%	0.37%

2,3 & 4 – Not applicable

M-Q. Not applicable.

Notes to Financial Statement

R. Prepayment Penalty and Acceleration Fees

	<u>General Account</u>	
(1) Number of CUSIPS	-	
(2) Aggregate Amount of Investment Income	\$	-

6. Joint Ventures, Partnerships and Limited Liability Companies

The Company has no investments in joint ventures, partnerships or limited liability companies.

7. Investment Income

No due and accrued income was excluded from surplus in 2020 and 2019.

8. Derivative Instruments

The Company held no derivative instruments in 2020 and 2019.

9. Income Taxes

The Company is exempt from federal income taxes under provisions of Section 501(c) (4) of the Internal Revenue.

10. Information Concerning Parent, Subsidiaries and Affiliates

No change.

11. Debt

No change.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No Change.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations.

No change.

14. Contingencies

The Company, along with the Delta Dental Plan Association (DDPA), Delta USA and other independent DDPA member companies, is defending a collection of lawsuits that have been filed in district courts across the country and consolidated into one case in the United States District Court for the Northern District of Illinois. The plaintiffs, representing the purported classes of dental providers, allege that various Association member company licensing standards violate federal antitrust laws. The Company believes the claims are meritless and intends to vigorously defend the case. At this point, it is too early in the proceedings to determine the outcome of the matter or the range or amount of any potential loss.

15. Leases

No change.

16. Information About Financial Instruments With Off-Balance Sheet Risk And Financial Instruments With Concentrations of Credit Risk

No change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. The Company had no transfers of receivables reported as sales.
- B. The Company had no transfer or servicing of Financial Assets.
- C. The Company was not involved in any wash sales during the periods presented in these statements.

18. Gains or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

No change.

19. Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators

No change.

Notes to Financial Statement

20. Fair Value Measurements

A.

1. Fair Value Measurements at Reporting Date

Description	(Level 1)	(Level 2)	(Level 3)	Total	Net Asset Values (NAV) Included in Level 2
a. Assets at fair value					
Perpetual Preferred stock					
Industrial & Misc	\$0	\$0	\$0	\$0	
Parent, Subs, and Affiliate	0	0	0	\$0	\$0
Total Perpetual Preferred Stocks	\$0	\$0	\$0	\$0	\$0
Bonds					
U.S. Governments	\$0	\$0	\$0	\$0	\$0
Industrial & Misc	3,156,839	0	0	3,156,839	0
Hybrid Securities	0	0	0	0	0
Parent, Subs, and Affiliate	0	0	0	0	0
Total Bonds	\$3,156,839	\$0	\$0	\$3,156,839	\$0
Common stock					
Industrial & Misc	\$20,025,802	\$0	\$0	\$20,025,802	\$0
Parent, Subs, and Affiliate	0	0	0	0	0
Total Common Stocks	\$20,025,802	\$0	\$0	\$20,025,802	\$0
Derivative assets					
Interest rate contracts	\$0	\$0	\$0	\$0	\$0
Foreign exchange contracts	0	0	0	0	0
Credit contracts	0	0	0	0	0
Commodity futures contracts	0	0	0	0	0
Commodity forward contracts	0	0	0	0	0
Total Derivatives	\$0	\$0	\$0	\$0	\$0
Separate account assets	\$0	\$0	\$0	\$0	\$0
Total assets at fair value	\$23,182,641	\$0	\$0	\$23,182,641	\$0
b. Liabilities at fair value					
Derivative liabilities	\$0	\$0	\$0	\$0	\$0
Total liabilities at fair value	\$0	\$0	\$0	\$0	\$0

2. None

3. None

4. None

5. None

B. None

C. Financial Instruments

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Net Practicable (Carrying Value)
Bonds	\$ 29,907,623	\$ 29,279,347	\$ 3,156,839	\$ 26,750,784	\$ -	\$ -	\$ -
Common Stock	20,025,802	20,025,802	20,025,802	-	-	-	-
Perpetual Preferred Stock	-	-	-	-	-	-	-
Mortgage Loans	-	-	-	-	-	-	-

D. None

21. Other Items

Due to COVID-19, the Company returned unused premium to groups in June 2020 in the amount of \$4.4M.

Notes to Financial Statement

22. Events Subsequent

The large-scale COVID-19 pandemic has not yet impacted the Company's workforce or business operations, but may cause disruptions in commerce, liquidity and economic activity if the pandemic expands over an extended period of time.

23. Reinsurance

The Company has no ceded reinsurance agreements.

24. Retrospectively Rated Contracts & Contracts Subject to Re-determination

No change.

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2019 were \$1,400,462. In June 30, 2020, \$1,058,462 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Remaining reserves for prior years are now \$56,100 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$285,900 favorable prior-year loss development since June 30, 2020 to December 31, 2019. This decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The business to which this relates does not include retrospectively rated policies, therefore there was no return premium accrued as a result of the prior year effects.

26. Intercompany Pooling Arrangements

The Company had no intercompany pooling arrangements during 2020 and 2019.

27. Structured Settlements

Not applicable.

28. Health Care Receivables

The Company reported no pharmaceutical rebate receivables or risk sharing receivables in 2020 and 2019.

29. Participating Policies

The Company did not have participating contracts in 2020 and 2019.

30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves	\$ 0
2. Date of the most recent evaluation of this liability	6/30/2020
3. Was anticipated investment income utilized in the calculation?	Yes No X

31. Anticipated Salvage and Subrogation

No change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes[] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes[] No[] N/A[X]

- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes[] No[X]
- 2.2 If yes, date of change:

- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes[X] No[]
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes[] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes: Yes[] No[X]
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes[] No[X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. Yes[] No[X]

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes[] No[X]
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes[] No[] N/A[X]
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2016
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2016
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 02/07/2018
- 6.4 By what department or departments?
New Mexico Office of Superintendent of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes[] No[] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes[X] No[] N/A[]

- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes[] No[X]
- 7.2 If yes, give full information Yes[] No[X]

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes[] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company. Yes[] No[X]
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes[] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator. Yes[] No[X]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
..... No No No No

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes[X] No[]
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain: Yes[] No[X]
- 9.2 Has the code of ethics for senior managers been amended? Yes[] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s). Yes[] No[X]
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes[] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes[X] No[]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes[] No[X]
- 11.2 If yes, give full and complete information relating thereto:

- 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
- 13. Amount of real estate and mortgages held in short-term investments: \$ 0

- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes[X] No[]

GENERAL INTERROGATORIES (Continued)

INVESTMENT

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds		
14.22 Preferred Stock		
14.23 Common Stock	3,873,197	6,109,020
14.24 Short-Term Investments		
14.25 Mortgages Loans on Real Estate		
14.26 All Other		
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	3,873,197	6,109,020
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above		

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes[] No[X]
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes[] No[] N/A[X]
 If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0
 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0
 16.3 Total payable for securities lending reported on the liability page \$ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes[X] No[]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of America	135 S. LaSalle St. Chicago, IL 60603

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes[] No[X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [" that have access to the investment accounts"; " handle securities"]

1 Name of Firm or Individual	2 Affiliation
Red Cedar Investment Management. LLC	A
Amy L Basel	A
Goran M. Jurkovic	A

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes[] No[X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes[] No[X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
170939	Red Cedar Investment Management. LLC	n/a	SEC	NO

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes[X] No[]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
 a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 b. Issuer or obligor is current on all contracted interest and principal payments.
 c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
 Has the reporting entity self-designated 5GI securities? Yes[] No[X]

GENERAL INTERROGATORIES (Continued)

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes[] No[X]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes[] No[X]

GENERAL INTERROGATORIES

PART 2 - HEALTH

- | | |
|---|---------------|
| 1. Operating Percentages: | |
| 1.1 A&H loss percent | 69.000% |
| 1.2 A&H cost containment percent | 1.000% |
| 1.3 A&H expense percent excluding cost containment expenses | 17.000% |
| 2.1 Do you act as a custodian for health savings accounts? | Yes[] No[X] |
| 2.2 If yes, please provide the amount of custodial funds held as of the reporting date. | \$..... 0 |
| 2.3 Do you act as an administrator for health savings accounts? | Yes[] No[X] |
| 2.4 If yes, please provide the balance of the funds administered as of the reporting date. | \$..... 0 |
| 3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? | Yes[] No[X] |
| 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? | Yes[] No[X] |

SCHEDULE S - CEDED REINSURANCE
Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
NONE									

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

State, Etc.	1 Active Status (a)	Direct Business Only							
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums and Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1. Alabama (AL)	N								
2. Alaska (AK)	N								
3. Arizona (AZ)	N								
4. Arkansas (AR)	N								
5. California (CA)	N								
6. Colorado (CO)	N								
7. Connecticut (CT)	N								
8. Delaware (DE)	N								
9. District of Columbia (DC)	N								
10. Florida (FL)	N								
11. Georgia (GA)	N								
12. Hawaii (HI)	N								
13. Idaho (ID)	N								
14. Illinois (IL)	N								
15. Indiana (IN)	N								
16. Iowa (IA)	N								
17. Kansas (KS)	N								
18. Kentucky (KY)	N								
19. Louisiana (LA)	N								
20. Maine (ME)	N								
21. Maryland (MD)	N								
22. Massachusetts (MA)	N								
23. Michigan (MI)	N								
24. Minnesota (MN)	N								
25. Mississippi (MS)	N								
26. Missouri (MO)	N								
27. Montana (MT)	N								
28. Nebraska (NE)	N								
29. Nevada (NV)	N								
30. New Hampshire (NH)	N								
31. New Jersey (NJ)	N								
32. New Mexico (NM)	L	23,646,585						23,646,585	
33. New York (NY)	N								
34. North Carolina (NC)	N								
35. North Dakota (ND)	N								
36. Ohio (OH)	N								
37. Oklahoma (OK)	N								
38. Oregon (OR)	N								
39. Pennsylvania (PA)	N								
40. Rhode Island (RI)	N								
41. South Carolina (SC)	N								
42. South Dakota (SD)	N								
43. Tennessee (TN)	N								
44. Texas (TX)	N								
45. Utah (UT)	N								
46. Vermont (VT)	N								
47. Virginia (VA)	N								
48. Washington (WA)	N								
49. West Virginia (WV)	N								
50. Wisconsin (WI)	N								
51. Wyoming (WY)	N								
52. American Samoa (AS)	N								
53. Guam (GU)	N								
54. Puerto Rico (PR)	N								
55. U.S. Virgin Islands (VI)	N								
56. Northern Mariana Islands (MP)	N								
57. Canada (CAN)	N								
58. Aggregate other alien (OT)	X X X								
59. Subtotal	X X X	23,646,585						23,646,585	
60. Reporting entity contributions for Employee Benefit Plans	X X X								
61. Total (Direct Business)	X X X	23,646,585						23,646,585	
DETAILS OF WRITE-INS									
58001.	X X X								
58002.	X X X								
58003.	X X X								
58998. Summary of remaining write-ins for Line 58 from overflow page	X X X								
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X								

(a) Active Status Counts:

- L Licensed or Chartered - Licensed insurance carrier or domiciled RRG
- E Eligible - Reporting entities eligible or approved to write surplus lines in the state
- N None of the above Not allowed to write business in the state

1

- R Registered - Non-domiciled RRGs
- Q Qualified - Qualified or accredited reinsurer

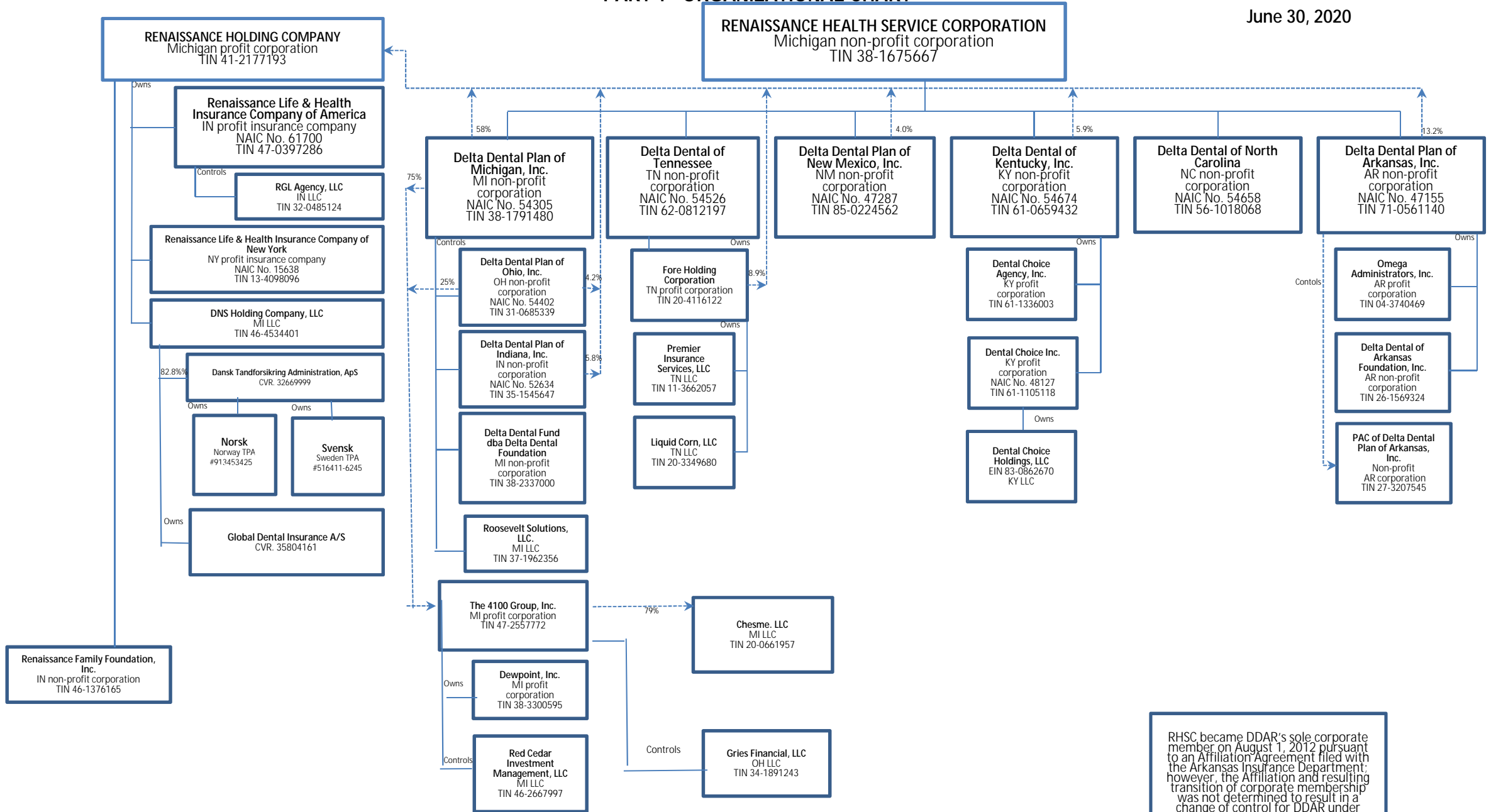
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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER

MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

ORGANIZATIONAL CHART
June 30, 2020



RHSC became DDAR's sole corporate member on August 1, 2012 pursuant to an Affiliation Agreement filed with the Arkansas Insurance Department; however, the Affiliation and resulting transition of corporate membership was not determined to result in a change of control for DDAR under Arkansas law based on review and discussion at that time.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Y/N)	*
		0	38-1675667				Renaissance Health Service Corporation	MI	UDP					N	
		0	46-1376165				Renaissance Family Foundation, Inc.	IN	NIA					N	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	58.0	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	4.2	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Indiana, Inc.	Ownership	5.8	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Fore Holding Corporation	Ownership	8.9	Renaissance Health Service Corporation	N	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental of Kentucky, Inc.	Ownership	5.9	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of New Mexico, Inc.	Ownership	4.0	Renaissance Health Service Corporation	N	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Arkansas, Inc.	Ownership	13.2	Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	61700	47-0397286				Renaissance Life & Health Insurance Company of America	IN	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	32-0485124				RGL Agency, LLC	IN	NIA	Renaissance Life & Health Insurance Company of America	Ownership	100.0	Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	15638	13-4098096				Renaissance Life & Health Insurance Company of New York	NY	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	46-4534401				DNS Holding Company, LLC	MI	NIA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	32669999				Dansk Tandforsikring Administration ApS	DNK	NIA	DNS Holding Company, LLC	Ownership	82.8	Renaissance Health Service Corporation	N	
		0	35804161				Global Dental Company A/S	DNK	NIA	DNS Holding Company, LLC	Ownership	100.0	Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	54305	38-1791480				Delta Dental Plan of Michigan, Inc.	MI	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	54402	31-0685339				Delta Dental Plan of Ohio, Inc.	OH	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	52634	35-1545647				Delta Dental Plan of Indiana, Inc.	IN	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		0	38-2337000				Delta Dental Fund dba Delta Dental Foundation	MI	NIA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		0	46-2667997				Red Cedar Investment Management, LLC	MI	NIA	The 4100 Group, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		0	47-2557772				The 4100 Group, Inc.	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	75.0	Renaissance Health Service Corporation	Y	
		0	47-2557772				The 4100 Group, Inc.	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	25.0	Renaissance Health Service Corporation	Y	
		0	38-3300595				Dewpoint, Inc.	MI	NIA	The 4100 Group, Inc.	Ownership	100.0	Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	54526	62-0812197				Delta Dental of Tennessee	TN	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
		0	20-4116122				Fore Holding Corporation	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	Y	
		0	11-3662057				Premier Insurance Services, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	N	

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Y/N)	*
		0	20-3349680				Liquid Corn, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	47287	85-0224562				Delta Dental Plan of New Mexico, Inc.	NM	RE	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	54674	61-0659432				Delta Dental of Kentucky, Inc.	KY	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
		0	61-1336003				Dental Choice Agency, Inc.	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporation	N	
			48127				Dental Choice Inc.	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	54658	56-1018068				Delta Dental of North Carolina	NC	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	47155	71-0561140				Delta Dental Plan of Arkansas, Inc.	AR	IA					N	
		0	04-3740469				Omega Administrators, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	26-1569324				Delta Dental of Arkansas Foundation, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		00000	83-0862670				Dental Choice Holdings, LLC	KY	NIA	Delta Choice Inc	Ownership	100.0	Renaissance Health Service Corporation	N	
		00000	20-0661957				Chesme, LLC	MI	NIA	The 4100 Group, Inc.	Ownership	79.0	Renaissance Health Service Corporation	N	
		00000	27-3207545				PAC of Delta Dental Plan of Arkansas, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		0	91-3453245				Norsk	DNK	NIA	Dansk Tandforsikring Administration ApS	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	51-64116245				Svensk	SWE	NIA	Dansk Tandforsikring Administration ApS	Ownership	100.0	Renaissance Health Service Corporation	N	
		00000	37-1962356				Roosevelt Solutions, LLC	MI	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		00000	34-1891243				Gries Financial, LLC	OH	NIA	The 4100 Group, Inc	Ownership	100.0	Renaissance Health Service Corporation	N	

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Asterisk	Explanation
0000001	RHSC became DDAR's sole corporate member on August 1, 2012 pursuant to an Affiliation Agreement filed with the Arkansas Insurance Department; however, the Affiliation and resulting transition of corporate membership was not determined to result in a chang

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

RESPONSE

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

No

Explanations:

Bar Codes:

Medicare Part D Coverage Supplement



47287202036500002

2020

Document Code: 365

OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A - VERIFICATION**Real Estate**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE**SCHEDULE B - VERIFICATION****Mortgage Loans**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points		
9. Total foreign exchange change in book value/recorded investment		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE**SCHEDULE BA - VERIFICATION****Other Long-Term Invested Assets**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		100,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		100,000
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION**Bonds and Stocks**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	52,331,801	44,066,724
2. Cost of bonds and stocks acquired	22,790,723	42,800,792
3. Accrual of discount	72,387	146,448
4. Unrealized valuation increase (decrease)	675,380	2,410,682
5. Total gain (loss) on disposals	1,394,352	217,582
6. Deduct consideration for bonds and stocks disposed of	21,667,655	37,114,694
7. Deduct amortization of premium	182,820	198,058
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees		2,325
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10)	55,414,168	52,331,801
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	55,414,168	52,331,801

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

	1	2	3	4	5	6	7	8
NAIC Designation	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	21,016,670	8,028,803	6,988,842	191,905	21,016,670	22,248,536		20,968,017
2. NAIC 2 (a)	8,576,036		1,813,875	83,053	8,576,036	6,845,214		7,572,052
3. NAIC 3 (a)	527,589		373,454	31,462	527,589	185,597		527,784
4. NAIC 4 (a)	390,051		425,528	35,477	390,051			445,064
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	30,510,346	8,028,803	9,601,699	341,897	30,510,346	29,279,347		29,512,917
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds & Preferred Stock	30,510,346	8,028,803	9,601,699	341,897	30,510,346	29,279,347		29,512,917

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

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SI03 Schedule DA Part 1 NONE

SI03 Schedule DA Verification NONE

SI04 Schedule DB - Part A Verification NONE

SI04 Schedule DB - Part B Verification NONE

SI05 Schedule DB Part C Section 1 NONE

SI06 Schedule DB Part C Section 2 NONE

SI07 Schedule DB - Verification NONE

SCHEDULE E - PART 2 - VERIFICATION**(Cash Equivalents)**

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	3,416,198	3,744,149
2.	Cost of cash equivalents acquired	17,034,241	30,924,149
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	16,254,008	31,252,100
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other-than-temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	4,196,431	3,416,198
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	4,196,431	3,416,198

E01 Schedule A Part 2 NONE

E01 Schedule A Part 3 NONE

E02 Schedule B Part 2 NONE

E02 Schedule B Part 3 NONE

E03 Schedule BA Part 2 NONE

E03 Schedule BA Part 3 NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
Bonds - U.S. Governments									
9128286T2	U S TREASURY NT 2.375% 05/15/29		04/03/2020	Bank of America	X X X	150,846	130,000	1,213	1
912810SH2	UNITED STATES TREAS BDS		05/11/2020	Bank of America	X X X	177,854	130,000	1,786	1
912810SN9	UNITED STATES TREAS BDS		06/09/2020	Bank of America	X X X	597,812	620,000	129	1
912828G38	UNITED STATES TREAS NTS		05/11/2020	Bank of America	X X X	238,769	220,000	2,434	1
912828T26	UNITED STATES TREAS NTS		06/19/2020	Bank of America	X X X	1,464,161	1,410,000	3,600	1
912828YF1	UNITED STATES TREAS NTS		06/05/2020	Bank of America	X X X	257,148	250,000	866	1
912828ZL7	UNITED STATES TREAS NTS		06/19/2020	Bank of America	X X X	1,802,519	1,800,000	453	1
912828ZQ6	UNITED STATES TREAS NTS		06/12/2020	Bank of America	X X X	442,725	445,000	149	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	5,131,834	5,005,000	10,630	X X X
Bonds - U.S. Special Revenue, Special Assessment									
31418DQ88	FNMA UMBS LNG 30 YEAR		06/30/2020	Bank of America	X X X	542,141	520,000	469	1
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	542,141	520,000	469	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)									
00206RKA9	AT&T INC		05/21/2020	Bank of America	X X X	149,420	150,000		1FE
09247XAR2	BLACKROCK INC		04/27/2020	Bank of America	X X X	244,603	245,000		1FE
22160KAN5	COSTCO WHSL CORP NEW		04/17/2020	Bank of America	X X X	244,865	245,000	7	1FE
45866FAL8	INTERCONTINENTAL EXCHANGE INC		05/18/2020	Bank of America	X X X	259,997	260,000		1FE
594918CC6	MICROSOFT CORP		06/01/2020	CORPORATE REORGANIZATION	X X X	201,818	205,000		1FE
670346AR6	NUCOR CORP		05/20/2020	Bank of America	X X X	246,705	245,000		1FE
744448CU3	PUBLIC SERVICE CO COLO		05/08/2020	Bank of America	X X X	147,377	150,000		1FE
776743AJ5	ROPER TECHNOLOGIES INC		06/09/2020	Bank of America	X X X	262,260	265,000		1FE
842587CY1	SOUTHERN CO		06/03/2020	Bank of America	X X X	254,375	250,000	3,056	1FE
91324PDZ2	UNITEDHEALTH GROUP INC		05/13/2020	Bank of America	X X X	72,886	75,000		1FE
98459LAA1	YALE UNIV MTN BE		06/02/2020	Bank of America	X X X	75,000	75,000		1FE
78015K7H1	ROYAL BK CDA	C	06/03/2020	Bank of America	X X X	129,548	130,000		1FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	2,288,854	2,295,000	3,063	X X X
Bonds - Hybrid Securities									
064058AH3	BANK NEW YORK MELLON CORP		05/13/2020	Bank of America	X X X	65,975	65,000		1FE
4899999 Subtotal - Bonds - Hybrid Securities					X X X	65,975	65,000		X X X
8399997 Subtotal - Bonds - Part 3					X X X	8,028,804	7,885,000	14,162	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	8,028,804	7,885,000	14,162	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8999999 Subtotal - Preferred Stocks					X X X	X X X	X X X	X X X	X X X
Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded									
002824100	ABBOTT LABS		05/13/2020	MORGAN STANLEY CO	476.000	42,888	X X X		
007903107	ADVANCED MICRO DEVICES INC		05/13/2020	MERRILL LYNCH	1,896.000	99,092	X X X		
009158106	AIR PRODS & CHEMS INC		05/13/2020	MORGAN STANLEY CO	111.000	24,331	X X X		
02079K305	ALPHABET INC		05/13/2020	Bank of America	39.000	48,958	X X X		
023135106	AMAZON COM INC		05/13/2020	MERRILL LYNCH	38.000	83,891	X X X		
03027X100	AMERICAN TOWER CORP NEW		05/13/2020	VARIOUS	96.000	23,999	X X X		
03076C106	AMERIPRISE FINL INC		05/13/2020	VARIOUS	225.000	25,715	X X X		
031162100	AMGEN INC		05/13/2020	MORGAN STANLEY CO	282.000	63,655	X X X		
032654105	ANALOG DEVICES INC		06/03/2020	MORGAN STANLEY CO	318.000	38,725	X X X		
037833100	APPLE INC		05/13/2020	MORGAN STANLEY CO	623.000	173,932	X X X		
060505104	BANK AMER CORP		05/13/2020	MORGAN STANLEY CO	1,423.000	32,572	X X X		
086516101	BEST BUY INC		05/13/2020	RAYMOND JAMES	264.000	18,286	X X X		
09247X101	BLACKROCK INC		05/13/2020	VARIOUS	95.000	45,892	X X X		

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SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
11135F101	BROADCOM INC COM		05/13/2020	VARIOUS	211.000	55,145	X X X		
12514G108	CDW CORP		05/13/2020	Bank of America	335.000	34,444	X X X		
166764100	CHEVRON CORP NEW		05/13/2020	Bank of America	265.000	24,799	X X X		
172908105	CINTAS CORP		05/13/2020	Bank of America	128.000	25,576	X X X		
22160K105	COSTCO WHSL CORP NEW		05/13/2020	VARIOUS	169.000	51,377	X X X		
22822V101	CROWN CASTLE INTL CORP NEW		05/13/2020	Bank of America	359.000	57,777	X X X		
253868103	DIGITAL RLTY TR INC		05/13/2020	VARIOUS	161.000	23,498	X X X		
277432100	EASTMAN CHEM CO		06/03/2020	Bank of America	365.000	26,501	X X X		
30040W108	EVERSOURCE ENERGY		05/13/2020	Bank of America	125.000	10,776	X X X		
311900104	FASTENAL CO		05/13/2020	VARIOUS	1,836.000	63,961	X X X		
437076102	HOME DEPOT INC		05/13/2020	VARIOUS	312.000	67,925	X X X		
452308109	ILLINOIS TOOL WKS INC		06/03/2020	VARIOUS	370.000	59,627	X X X		
46625H100	JPMORGAN CHASE & CO		05/13/2020	VARIOUS	630.000	59,106	X X X		
518439104	LAUDER ESTEE COS INC		05/13/2020	VARIOUS	132.000	21,779	X X X		
532457108	LILLY ELI & CO		05/13/2020	VARIOUS	230.000	34,943	X X X		
539830109	LOCKHEED MARTIN CORP		05/13/2020	VARIOUS	102.000	38,027	X X X		
57636Q104	MASTERCARD INC		05/13/2020	VARIOUS	264.000	70,259	X X X		
580135101	MCDONALDS CORP		05/13/2020	VARIOUS	134.000	24,429	X X X		
58933Y105	MERCK & CO INC NEW		05/13/2020	VARIOUS	909.000	73,070	X X X		
594918104	MICROSOFT CORP		05/13/2020	VARIOUS	1,073.000	183,690	X X X		
609207105	MONDELEZ INTL INC		05/13/2020	VARIOUS	675.000	34,978	X X X		
620076307	MOTOROLA SOLUTIONS INC		05/13/2020	VARIOUS	408.000	59,346	X X X		
55354G100	MSCI INC		05/13/2020	VARIOUS	243.000	77,559	X X X		
65339F101	NEXTERA ENERGY INC		05/13/2020	VARIOUS	298.000	72,565	X X X		
654106103	NIKE INC		05/13/2020	VARIOUS	267.000	23,283	X X X		
713448108	PEPSICO INC		05/13/2020	VARIOUS	324.000	43,149	X X X		
693475105	PNC FINL SVCS GROUP INC		05/13/2020	VARIOUS	309.000	31,184	X X X		
824348106	SHERWIN WILLIAMS CO		05/13/2020	VARIOUS	44.000	22,627	X X X		
863667101	STRYKER CORP		05/13/2020	VARIOUS	259.000	46,872	X X X		
87612E106	TARGET CORP		05/13/2020	MORGAN STANLEY CO	640.000	69,382	X X X		
872540109	TJX COS INC NEW		05/13/2020	VARIOUS	891.000	42,149	X X X		
907818108	UNION PAC CORP		05/13/2020	VARIOUS	464.000	69,463	X X X		
91324P102	UNITEDHEALTH GROUP INC		05/13/2020	VARIOUS	280.000	76,387	X X X		
91913Y100	VALERO ENERGY CORP NEW		06/03/2020	VARIOUS	921.000	53,758	X X X		
98978V103	ZOETIS INC		05/13/2020	Bank of America	418.000	52,833	X X X		
909999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded				X X X	2,504,180	X X X		X X X
Common Stocks - Mutual Funds									
233203827	DFA INVT DIMENSIONS GROUP INC		04/02/2020	Bank of America	162.070	4,339	X X X		U
233203843	DFA INVT DIMENSIONS GROUP INC		04/02/2020	Bank of America	59.720	1,405	X X X		U
464287804	ISHARES TRUST CORE S&P SMALL		05/18/2020	Bank of America	6,693.000	420,246	X X X		1
75644E103	RED CEDAR FUND TRUST		06/01/2020	Bank of America	2,095.600	21,032	X X X		L
80343J460	SARATOGA ADVANTAGE TR		06/25/2020	Bank of America	96,308.740	994,039	X X X		1
808524409	SCHWAB STRATEGIC TR		05/18/2020	Bank of America	2,043.000	100,076	X X X		L
808524201	SCHWAB US LARGE_CAP		06/23/2020	Bank of America	11,876.000	831,238	X X X		L
922908850	VANGUARD INDEX FDS		06/24/2020	Bank of America	13,760.500	526,187	X X X		U
92206C730	VANGUARD SCOTTSDALE FDS		06/09/2020	Bank of America	18,424.000	2,564,306	X X X		1
922908819	VANGUARD SMALL CAP GROWTH INDEX		06/24/2020	SCHWAB CHARLES, CO. INC	1.890	104	X X X		U
921909784	VANGUARD STAR FD		06/19/2020	Bank of America	494.250	53,108	X X X		U
949999	Subtotal - Common Stocks - Mutual Funds				X X X	5,516,080	X X X		X X X
9799997	Subtotal - Common Stocks - Part 3				X X X	8,020,260	X X X		X X X
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
9799999	Subtotal - Common Stocks				X X X	8,020,260	X X X		X X X
9899999	Subtotal - Preferred and Common Stocks				X X X	8,020,260	X X X		X X X

QE04.1

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol
9999999	Total - Bonds, Preferred and Common Stocks X X X 16,049,064 X X X 14,162 X X X

SCHEDULE D - PART 4**Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter**

1	2	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation and Admini- strative Symbol
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
64830GAB2	NEW RESI MTG TR-144A		06/25/2020	PRINCIPAL RECEIPT	X X X	6,434	6,434	6,659	6,648		(213)		(213)		6,434				110	12/25/2057	1FM
64829HAD9	PVTPL NEW RESIDENTIAL MTG LN 144A		06/25/2020	PRINCIPAL RECEIPT	X X X	6,010	6,010	6,100	6,102		(92)		(92)		6,010				78	09/25/2056	1FM
81745DAG6	SEQUOIA MTG TR 2013-9		06/25/2020	PRINCIPAL RECEIPT	X X X	4,274	4,274	4,238	4,243		31		31		4,274				63	07/25/2043	1FM
885220DC4	THORNBURG MTG TR 2003-2		06/25/2020	PRINCIPAL RECEIPT	X X X	3,962	3,962	3,974	3,956		6		6		3,962				33	04/25/2043	FMR
89172HAJ6	TOWD POINT MTG TR 2015-3 144A		06/25/2020	PRINCIPAL RECEIPT	X X X	12,180	12,180	12,260	12,217		(37)		(37)		12,180				177	03/25/2054	1FM
89171VAK3	TOWD POINT MTG TR 2015-5		06/25/2020	PRINCIPAL RECEIPT	X X X	11,848	11,848	12,053	11,940		(92)		(92)		11,848				133	05/25/2055	1FM
89172YAA8	TOWD PT MTG TR 2016-3 144A		06/25/2020	PRINCIPAL RECEIPT	X X X	10,696	10,696	10,604	10,629		67		67		10,696				101	04/25/2056	1FM
89173CAA5	TOWD PT MTG TR 2016-5 144A		06/25/2020	PRINCIPAL RECEIPT	X X X	11,158	11,158	11,150	2,340		(3)		(3)		11,158				102	10/25/2056	1FM
89175JAA8	TOWD PT MTG TR 2017-6 144A		06/25/2020	PRINCIPAL RECEIPT	X X X	3,007	3,007	3,016	3,008		(1)		(1)		3,007				35	10/25/2057	1FM
89238KAE2	TOYOTA AUTO RECV OWN TR 2017-D		05/28/2020	Bank of America	X X X	91,540	90,000	89,989	90,010		(3)		(3)		90,008		1,532	1,532	880	02/15/2023	1FE
90349DAD4	UBS-BARCLY COML MTG TR 2012-C3		06/12/2020	PRINCIPAL RECEIPT	X X X	765	765	786	772		(7)		(7)		765				10	08/12/2049	FMC
90345WAD6	US AIRWAYS 2012-2A PIT		06/03/2020	Sink PMT @ 100.0000000	X X X	1,118	1,118	1,185	1,171		(53)		(53)		1,118				26	12/03/2026	1FE
92826CAH5	VISA INC		06/09/2020	Bank of America	X X X	253,170	230,000	226,084	226,556		174		174		226,730		26,440	26,440	4,673	09/15/2027	1FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	1,493,630	1,382,240	1,404,964	1,125,037		(2,728)		(2,728)		1,400,639		92,993	92,993	23,021	X X X	X X X
Bonds - SVO Identified Funds																					
46138E719	INVESCO EXCHNG TRADED FD TR II FND		04/20/2020	Bank of America		20,615,000	359,127	373,454	397,045		(23,591)		(23,591)		373,454		(14,328)	(14,328)	5,085	X X X	3
46428R513	ISHARES TR IBOXX HI YD ETF		04/20/2020	Bank of America		5,061,000	406,608	425,528	445,064		(19,536)		(19,536)		425,528		(18,920)	(18,920)	5,725	X X X	4
72201R833	PIMCO ETF TR		05/11/2020	Bank of America		10,472,000	1,052,335	1,062,879	1,063,850		(971)		(971)		1,062,879		(10,544)	(10,544)	9,111	X X X	2
92203J407	VANGUARD CHARLOTTE FDS		04/20/2020	Bank of America		10,586,000	599,850	561,058	598,956		(37,898)		(37,898)		561,058		38,792	38,792	1,477	X X X	2
8099999	Subtotal - Bonds - SVO Identified Funds				X X X	2,417,920	2,422,919	2,422,919	2,504,915		(81,996)		(81,996)		2,422,919		(5,000)	(5,000)	21,398	X X X	X X X
8399997	Subtotal - Bonds - Part 4				X X X	9,601,699	6,630,426	9,388,543	5,707,534		(81,996)		(81,996)		9,359,666		242,034	242,034	97,059	X X X	X X X
8399998	Summary Item from Part 5 for Bonds (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X	X X X	X X X
8399999	Subtotal - Bonds				X X X	9,601,699	6,630,426	9,388,543	5,707,534		(81,996)		(81,996)		9,359,666		242,034	242,034	97,059	X X X	X X X
8999998	Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X	X X X	X X X
8999999	Subtotal - Preferred Stocks				X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X	X X X	X X X
Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded																					
007903107	ADVANCED MICRO DEVICES INC		06/03/2020	MERRILL LYNCH		521,000	27,365	X X X	25,807					25,807		1,558	1,558		X X X		
023135106	AMAZON COM INC		05/08/2020	MERRILL LYNCH		7,000	16,608	X X X	14,229					14,229		2,379	2,379		X X X		
12514G108	CDW CORP		06/03/2020	Bank of America		335,000	38,392	X X X	34,444					34,444		3,949	3,949	127	X X X		
22160K105	COSTCO WHSL CORP NEW		05/08/2020	Bank of America		65,000	19,212	X X X	18,923					18,923		289	289		X X X		
609207105	MONDELEZ INTL INC		05/08/2020	Bank of America		190,000	9,611	X X X	9,930					9,930		(319)	(319)		X X X		
55354G100	MSCI INC		06/03/2020	Bank of America		84,000	26,714	X X X	26,006					26,006		708	708	74	X X X		
65339F101	NEXTERA ENERGY INC		05/08/2020	Bank of America		41,000	9,365	X X X	10,097					10,097		(732)	(732)		X X X		
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded				X X X	147,267	X X X	X X X	139,436					139,436		7,832	7,832		201	X X X	X X X
Common Stocks - Mutual Funds																					
233203827	DFA INVT DIMENSIONS GROUP INC		05/31/2020	VARIOUS		21,854,156	647,757	X X X	738,524		(104,864)		(104,864)		738,524		(90,767)	(90,767)	4,339	X X X	U
233203843	DFA INVT DIMENSIONS GROUP INC		05/31/2020	VARIOUS		16,759,152	449,815	X X X	558,254		(28,132)		(28,132)		558,254		(108,439)	(108,439)	1,405	X X X	U
464287614	ISHARES TR RUSSELL 1000 GROWTH IND		06/23/2020	Bank of America		1,316,000	256,209	X X X	83,119		(148,392)		(148,392)		83,119		173,091	173,091	1,036	X X X	L
464287804	ISHARES TRUST CORE S&P SMALL		06/09/2020	Bank of America		2,774,000	204,999	X X X	174,176					174,176		30,822	30,822		X X X	L	
808524201	SCHWAB US LARGE CAP		04/06/2020	Bank of America		16,930,000	1,049,653	X X X	881,497		(418,896)		(418,896)		881,497		168,156	168,156	5,727	X X X	L
922908785	VANGUARD SMALL CAP VALUE INDEX		06/30/2020	VARIOUS		7,300,117	205,644	X X X	213,295		(26,810)		(26,810)		213,295		(7,651)	(7,651)	703	X X X	U
921921300	VANGUARD FENWAY FDS		05/28/2020	Bank of America		5,739,643	395,805	X X X	399,425		(55,857)		(55,857)		399,425		(3,620)	(3,620)	2,907	X X X	U
922908819	VANGUARD SMALL CAP GROWTH INDEX		06/03/2020	Bank of America		1,773,360	100,000	X X X	71,271		(27,948)		(27,948)		71,271		28,729	28,729	128	X X X	U
921909784	VANGUARD STAR FD		06/09/2020	Bank of America		3,751,830	410,000	X X X	384,457		(63,774)		(63,774)		384,457		25,543	25,543	5,149	X X X	U
921946406	VANGUARD WHITEHALL FDS INC		06/09/2020	Bank of America		5,176,000	419,424	X X X	388,134		(96,909)		(96,909)		388,134		31,290	31,290	2,870	X X X	L
97177W307	WISDOMTREE TR		05/27/2020	Bank of America		4,830,000	442,998	X X X	383,906		(127,735)		(127,735)		383,906		59,091	59,091	5,216	X X X	L
9499999	Subtotal - Common Stocks - Mutual Funds				X X X	4,582,304	X X X	X X X	4,276,058		(1,099,317)		(1,099,317)		4,276,058		306,245	306,245	29,480	X X X	X X X
9799997	Subtotal - Common Stocks - Part 4				X X X	4,729,571	X X X	X X X	4,415,494		(1,099,317)		(1,099,317)		4,415,494		314,077	314,077	29,681	X X X	X X X
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X	X X X	X X X
9799999	Subtotal - Common Stocks				X X X	4,729,571	X X X	X X X	4,415,494		(1,099,317)		(1,099,317)		4,415,494		314,077	314,077	29,681	X X X	X X X
9899999	Subtotal - Preferred and Common Stocks				X X X	4,729,571	X X X	X X X	4,415,494		(1,099,317)		(1,099,317)		4,415,494		314,077	314,077	29,681	X X X	X X X
9999999	Total - Bonds, Preferred and Common Stocks				X X X	14,331,270	X X X	X X X	13,804,037		(1,181,313)		(1,181,313)		13,775,160		556,111	556,111	126,740	X X X	X X X

QE05.2

E06 Schedule DB Part A Section 1 NONE

E07 Schedule DB Part B Section 1 NONE

E08 Schedule DB Part D Section 1 NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity NONE

E10 Schedule DB Part E NONE

E11 Schedule DL - Part 1 - Securities Lending Collateral Assets NONE

E12 Schedule DL - Part 2 - Securities Lending Collateral Assets NONE

SCHEDULE E - PART 1 - CASH**Month End Depository Balances**

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6	7	8	
					First Month	Second Month	Third Month	
open depositories								
Bank of America Admin	Chicago, IL				130,714	(62,974)	(49,984)	X X X
Bank of America General Claims	Chicago, IL				(274,514)	(464,356)	(991,800)	X X X
Bank of America State NM Claims	Chicago, IL				(91,292)	(113,906)	(251,820)	X X X
Bank of America Sandia Labs Claims	Chicago, IL				(67,233)	(91,038)	(226,342)	X X X
Bank of America Control Account	Chicago, IL				6,276,905	8,888,842	4,585,598	X X X
Bank of America Gen Grps Receipts	Chicago, IL				3,686	187,271	(640)	X X X
Bank of America Individual Receipts	Chicago, IL				976	859	1,294	X X X
Bank of America Payroll	Chicago, IL				38,927	13,975	12,056	X X X
Bank of America Admin	Chicago, IL							X X X
Bank of America General Claims	Chicago, IL							X X X
Bank of America State NM Claims	Chicago, IL							X X X
Bank of America Sandia Labs Claims	Chicago, IL							X X X
Bank of America Control Account	Chicago, IL							X X X
Bank of America Gen Grps Receipts	Chicago, IL							X X X
Bank of America Individual Receipts	Chicago, IL							X X X
Bank of America Payroll	Chicago, IL							X X X
0199998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories		X X X	X X X					X X X
0199999 Totals - Open Depositories		X X X	X X X		6,018,169	8,358,673	3,078,362	X X X
0299998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories		X X X	X X X					X X X
0299999 Totals - Suspended Depositories		X X X	X X X					X X X
0399999 Total Cash On Deposit		X X X	X X X		6,018,169	8,358,673	3,078,362	X X X
0499999 Cash in Company's Office		X X X	X X X	X X X				X X X
0599999 Total Cash		X X X	X X X		6,018,169	8,358,673	3,078,362	X X X

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
Exempt Money Market Mutual Funds - as Identified by SVO								
. 999109275	BLACKROCK LIQUIDITY FDS TREAS TR I		06/30/2020	1.391	X X X	4,196,431	4,730	3,692
8599999	Subtotal - Exempt Money Market Mutual Funds - as Identified by SVO					4,196,431	4,730	3,692
8899999	Total Cash Equivalents					4,196,431	4,730	3,692

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